



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

PING *et al.*

Appl. No.: 10/538,997

Filed: February 8, 2006

For: **N-(substituted arylmethyl)-4-(disubstituted methyl)piperidines and piperazines**

Confirmation No.: 9442

Art Unit: 1626

Examiner: Chu, Yong Liang

Atty. Docket: 2400.1070003/VLC/CMB

**Information Disclosure Statement  
Under 37 C.F.R. § 1.97(c)**

*Mail Stop Amendment*

Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

Sir:

Listed on accompanying IDS Form, PTO/SB/08A, are documents that may be considered material to the examination of this application, in compliance with the duty of disclosure requirements of 37 C.F.R. §§ 1.56, 1.97 and 1.98.

Copies of documents **FP1** and **FP2** are submitted.

Where the publication date of a listed document does not provide a month of publication, the year of publication of the listed document is sufficiently earlier than the effective U.S. filing date and any foreign priority date so that the month of publication is not in issue. Applicants have listed publication dates on the attached IDS Forms based on information presently available to the undersigned. However, the listed publication dates should not be construed as an admission that the information was actually published on the date indicated.

Applicants reserve the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

05/22/2007 YPOLITE1 00000133 10538997

01 FC:1806

180.00 OP

This statement should not be construed as a representation that a search has been made, or that information more material to the examination of the present patent application does not exist. The Examiner is specifically requested not to rely solely on the material submitted herewith.

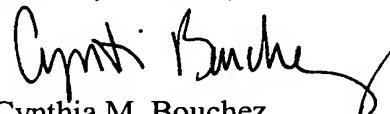
This Information Disclosure Statement is being filed more than three months after the U.S. filing date AND after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Rejection, or Notice of Allowance, or an action that otherwise closes prosecution in the application. Attached is our PTO-2038 Credit Card Payment Form in the amount of \$180.00 in payment of the fee under 37 C.F.R. § 1.17(p).

It is respectfully requested that the Examiner initial and return a copy of the enclosed IDS Forms, and indicate in the official file wrapper of this patent application that the documents have been considered.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

  
Cynthia M. Bouchez  
Attorney for Applicants  
Registration No. 47,438

Date: May 21, 2007

1100 New York Avenue, N.W.  
Washington, D.C. 20005-3934  
(202) 371-2600  
677935v1



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Approved for use through 09/30/2006. OMB 0651-0031  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE  
Do not send a collection of information unless it contains a valid OMB control number.

|                                                                                                                                                                        |                  |                          |                      |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------|--------------------------|----------------------|
| <b>TRADEMAKES</b><br><b>INSTITUTE FOR FORM 1449A/PTO</b><br><b>INFORMATION DISCLOSURE</b><br><b>STATEMENT BY APPLICANT</b><br><i>(Use as many sheets as necessary)</i> |                  | <i>Complete if Known</i> |                      |
| Application Number                                                                                                                                                     | 10/538,997       |                          |                      |
| Filing Date                                                                                                                                                            | February 8, 2006 |                          |                      |
| First Named Inventor                                                                                                                                                   | Ping Ding        |                          |                      |
| Art Unit                                                                                                                                                               | 1626             |                          |                      |
| Examiner Name                                                                                                                                                          | Chu, Yong Liang  |                          |                      |
| Sheet                                                                                                                                                                  | 1                | of                       | 1                    |
|                                                                                                                                                                        |                  | Attorney Docket Number   | 2400.1070003/VLC/CMB |

## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

*(Use as many sheets as necessary)*

Sheet

1 | of | 1

***Complete if Known***

|                      |                  |
|----------------------|------------------|
| Application Number   | 10/538,997       |
| Filing Date          | February 8, 2006 |
| First Named Inventor | Ping Ding        |
| Art Unit             | 1626             |
| Examiner Name        | Chu, Yong Liang  |

**Attorney Docket Number**

2400.1070003/VLC/CMB

---

**U.S. PATENT DOCUMENTS**

---

## FOREIGN PATENT DOCUMENTS

|                       |  |                    |  |
|-----------------------|--|--------------------|--|
| Examiner<br>Signature |  | Date<br>Considered |  |
|-----------------------|--|--------------------|--|

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.